Substitute Form PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office Attomey's Docket No. 17075-019001/0123

Application No. 10/627,941

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of Patents and Publications for Applicant's Information Disclosure Statement

Applicant

Michael Hendricksen et al.

Filing Date
July 25, 2003

Group Art Unit

3743

U.S. Patent Documents

Examiner	Desig.	Document	Publication	nt Documents	Class	Subalana	Filing Date
Initial /NP/	ID AA	Number 2003/0070682	Date 04/17/03	Patentee Wilson et al	Class 128	Subclass 207.16	If Appropriate 10/10/02
71817	AB	2003/0070683	04/17/03	Deem et al.	128	207.16	11/22/02
		 					
	AC	2003/0075169	04/24/03	Deem et al.	128	200.19	11/22/02
ļ	AD	2003/0075170	04/24/03	Deem et al.	128	200.19	1122/02
	AE	2003/0164168	09/04/03	Shaw et al.	128	200.24	05/18/01
	AF	2003/0192550	10/16/03	Deem et al.	128	207.14	04/18/03
	AG	2003/0192551	10/16/03	Deem et al.	128	207.14	04/18/03
	AH	2003/0199972	10/23/03	Zadno-Azizi et al.	623	1.24	04/18/03
	ΑI	2003/0212452	11/13/03	Zadno-Azizi et al.	623	1.24	06/03/03
	AJ	2004/0016435	01/29/04	Deem et al.	128	207.14	07/29/03
	AK	2004/0039250	02/26/04	Tholfsen et al.	600	104	05/28/03
	AL	2004/0060563	04/01/04	Rapacki et al.	128	207.14	07/25/03
	AM	2004/0089306	05/13/04	Hundertmark et al.	128	207.14	05/28/03
	AN	2004/0134487	07/15/04	Deem et al.	128	200.19	11/06/03
	AO	2004/0154621	08/12/04	Deem et al.	128	206.24	10/15/03
	AP	2005/0016530	01/27/05	McCutcheon et al.	128	200.24	07/08/04
	AQ	2005/0051163	03/10/05	Deem et al.	128	200.24	11/06/03
	AR	2005/0066974	03/31/05	Fields et al.	128	207.14	05/28/03
	AS	4,774,942	10/04/88	Moellers .	128	205.24	08/28/87
	AT	4,879,998	11/14/89	Moellers	128	205.24	05/06/88
	AU	4,990;151	02/05/91	Wallsten	606	108	09/18/89
	AV	5,158,548	10/27/91	Lau et al.	606	194	07/24/91
	AW	5,453,090	09/26/95	Martinez et al.	606	108	03/01/94
	AX	5,645,519	07/08/97	Lee et al.	600	114	03/18/94
	AY	5,649,906	07/22/97	Gory et al.	606	108	01/19/95
V	AZ	5,803,080	09/08/98	Freitag	128	207.14	12/20/96
/NP/	BA	5,910,144	07/08/99	Hayashi	606	108	01/09/98

Examiner Signature

/Nihir Patel/

Date Considered

01/04/2008

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Substitute Form PTO-1449 U.S. Department of Commerce (Modified) Patent and Trademark Office				Attorney's Docket No. 17075-019001/0123 Application No. 10/627,941			
		d Publications n Disclosure S	for Applicant's tatement	Applicant Michael Hendri	cksen et a	ıl	
(37 CFR §1.98	B(b))			Filing Date July 25, 2003		Group Art Uni 3743	
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Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
/NP/	ВВ	5,980,455	11/09/99	Daniel et al.	600	235	07/15/97
	ВС	6,027,508	02/22/00	Ren et al.	606	108	10/03/96
	BD	6,190,381	02/20/01	Olsen et al.	606	32	01/21/98
	BE	6,247,471	06/19/01	Bower et al.	128	205.21	07/08/99
	BF	6,280,464	08/28/01	Hayashi	623	1.11	06/07/99
	BG	6,558,318	05/06/03	Daniel et al.	600	213	11/01/99
	ВН	6,592,594	07/15/03	Rimbaugh et al.	606	108	10/25/01
	BI	6,632,243	10/14/03	Zadno-Azizi et al.	623	1.24	09/16/99
	BJ	6,679,264	01/20/04	Deem et al.	128	207.16	03/04/00
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/N	P/	ВО	01/87170	11/22/01	PCT				
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	/	BQ	03/099164	12/04/03	PCT				
/\	NP/	BR	04/010845	02/05/04	PCT				

	Other Documents (include Author, Title, Date, and Place of Publication)									
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Filing Date July 25, 2003 3743	Substitute For (Modified)	m PTO-144!	U.S. 2004 OCT 1 3 2004 OCT	epartment of Commercent and Trademark Officen	Attorney's Docket No. 17075-019001 /				
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Date Patentee Class Subclass If Appropriate INPI AA 6,110,201 08/29/00 Quijano, et al. 623 2.1 02/18/99			·		nt Documents				
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Examiner Initial ID Number Publication Date Patent Office Class Subclass Yes No	/NP/	AB	6,193,748	02/27/01	Thompson, et al.	623	1.3	06/11/9	9
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NP/ AC 2004/010845 02/05/04 PCT				1		Class	Subclass		
Other Documents (include Author, Title, Date, and Place of Publication) Examiner Desig. Initial ID Document Document Document Date Considered 01/04/2008 Examiner Signature /Nihir Patel/ EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in		<u> </u>	 	 	 			1	7.0
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INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR § 1.56, § 1.97, and § 1.98

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APPLICATION SERIAL NO.: 10/627,941	ATTY DKT NO.: 38349-0123	CONFIRM NO.: 3677
APPLICANTS: Hendricksen <i>et al.</i>	CUSTOMER NO.: 24961	
FILING DATE: July 25, 2003	GROUP ART UNIT: 3761	

U.S. PATENT DOCUMENTS

† EX'R INITIAL	REF.#	PATENT NUMBER	DATE	NAME	U.S. CLASS/ SUBCLASS	FILING DATE (If appropriate)
/NP/	Α	2003/0018327 (A1)	01/23/03	Truckai et al.	606/32	07/18/02
r	В	2003/0018344 (A1)	01/23/03	Kaji et al.	606/130	07/19/02
•	С	2003/0050648 (A1)	03/13/03	Alferness et al.	606/108	09/11/01
-	D	2003/0083671(A1)	05/01/03	Rimbaugh et al.	606/108	10/25/01
-	Ε	2003/0181922 (A1)	09/25/03	Alfemess	606/108	03/20/02
-	F	2003/0183235 (A1)	10/02/03	Rimbaugh et al.	128/207.15	04/17/03
	G	2002/0138135 (A1)	09/26/02	Duerig et al.	623/1.24	03/21/01
	Н	2002/0112729 (A1)	08/22/02	DeVore et al.	128/207.15	02/21/01
	ŀ	2002/0111620 (A1)	08/15/02	Cooper et al.	606/41	09/04/01
	J	2002/0111619 (A1)	08/14/02	Keast et al.	606/41	09/04/01
	К	2002/0095209 (A1)	07/18/02	Zadno-Azizi et al.	623/1.24	02/08/02
	L	2002/0087153 (A1)	07/04/02	Roschak et al.	606/27	09/04/01
	М	2002/0077696 A1	06/20/02	Zadno-Azizi et al.	623/1.24	02/21/02
T	N	2002/0077593 (A1)	06/20/02	Perkins et al.	604/96.01	02/11/02
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Λ	U	2001/0041906 (A1)	11/15/01	Gonzalez	606/191	07/24/01
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/Nihir Patel/

DATE CONSIDERED 01/04/2008

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INFORMATION DISCLOSURE STATEMENT

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APPLICATION SERIAL NO.: 4TTY DKT NO.: 2005 CONFIRM NO.: 38349-0123 3677

APPLICANTS: CUSTOMER NO.: 24961

FILING DATE: GROUP ART UNIT: 3761

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7	Y	3,657,744	04/25/72	Ersek	128/334	05/08/70
	Z	3,788,327	01/29/74	Donowitz et al.	128/350	03/30/71
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	AB	4,014,318	03/29/77	Dockum et al.	128/1	05/22/75
	AC	4,086,665	05/02/78	Poirier	623/1	12/16/76
	В	4,212,463	07/15/80	Repinski et al.	273/418	02/17/78
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	AE	4,302,854	12/01/81	Runge	3/1.7	06/04/80
	AF	4,477,930	10/23/84	Totten et al.	3/1.5	09/28/82
1	AG	4,710,192	12/01/87	Liotta et al.	623/1	10/17/86
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	Al	4,759,758	07/26/88	Gabbay	623/2	12/07/84
	AJ	4,795,449	01/03/89	Schneider et al.	604/329	08/04/86
	AK	4,808,183	02/28/89	Panje	623/9	06/03/80
	AL	4,819,664	04/11/89	Nazari	128/207.15	02/17/88
	AM	4,830,003	05/16/89	Wolff et al.	128/343	06/17/88
	AN	4,832,680	05/23/89	Haber et al.	600/31	07/03/86
	AO	4,846,836	07/11/89	Reich	623/11	10/03/88
V	AP	4,850,999	07/25/89	Planck	623/1	05/26/81
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/Nihir Patel/

DATE CONSIDERED 01/04/2008

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APPLICATION SERIAL NO.: 10/627,941	ATTY DKT NO.: 38349-0123	CONFIRM NO.: 3677
APPLICANTS: Hendricksen et al.	CUSTOMER NO.: 24961	
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	AT	4,968,294	11/06/90	Salama	600/30	02/09/89
ľ	AU	5,061,274	10/29/91	Kensey	606/213	12/04/89
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	AX	5,123,919	06/23/92	Sauter et al.	623/2	06/23/92
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	AZ	5,161,524	11-92	Evans	128/203.15	
	BA	5,306,234	04/26/94	Johnson	604/49	03/23/93
	BB	5,352,240	10/04/94	Ross	623/2	05/31/89
	ВС	5,358,518	10/25/94	Camilli	623/2	01/25/93
	BD	5,366,478	11/22/94	Brinkerhoff et al.	660/213	07/27/93
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1	BJ	5,413,599	05/09/95	Imachi et al.	623/2	12/13/93
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V	BL	5,445,626	08/29/95	Gigante	604/349	06/03/94
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APPLICANTS: Hendricksen <i>et al.</i>	CUSTOMER NO.: 24961	
FILING DATE: July 25, 2003	GROUP ART UNIT: 3761	

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	BU	5,697,968	12/16/97	Rogers et al.	623/1	08/10/95
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	CF	5,957,949	09/28/99	Leonhardt et al.	606/194	05/01/97
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INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR § 1.56, § 1.97, and § 1.98

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APPLICATION SERIAL NO.: 38349-0123 CONFIRM NO.: 3677

APPLICANTS: CUSTOMER NO.: 24961

FILING DATE: GROUP ART UNIT: 3761

U.S. PATENT DOCUMENTS

/NP/	C1	6,009,614	01/04/00	Morales	29/516	04/21/98
	СК	6,020,380	02/01/00	Killian	514/570	11/25/98
	CL	6,022,312	02/08/00	Chaussy et al.	600/29	02/20/98
	СМ	6,027,525	02/22/00	Suh et al.	623/1	05/23/97
	CN	6,051,022	04/18/00	Cai et al.	623/2	12/30/98
	co	6,068,635	05/30/00	Gianotti	606/108	06/04/98
	CP	6,068,638	05/30/00	Makower	606/159	10/27/98
	ca	6,077,291	06/20/00	Das	606/213	11/26/96
	CR	6,083,255	07/04/00	Laufer et al.	607/96	12/19/97
	cs	6,123,663	09/26/00	Rebuffat	A61F2/00	12/23/98
	СТ	6,135,729	10/24/00	Aber	417,420	07/09/99
	CU	6,135,991	10/24/00	Muni et al.	604/509	03/27/98
	CV	6,141,855	11/07/00	Morales	29/516	04/28/98
	cw	6,162,245	12/19/00	Jayaraman	623/1.15	01/05/99
	СХ	6,168,614 (B1)	01/02/01	Andersen et al.	623/1	02/20/98
	CY	6,174,323 (B1)	01/16/01	Biggs et al.	606/232	06/05/98
	CZ	6,183,520 (B1)	02/06/01	Pintauro et al.	623/23.64	11/10/98
	DA	6,200,333 (B1)	03/13/01	Laufer	607/96	12/31/98
	DB	6,206,918 (B1)	03/27/01	Campbell et al.	623/2.32	05/12/99
	DC	6,234,996 (B1)	05/22/01	Bagaoisan et al.	604/97.01	06/23/99
	DD	6,240,615 (B1)	06/05/01	Kimes et al.	29/516	09/30/99
, ,	DE	6,245,102 (B1)	06/12/01	Jayaraman	623/1.15	07/21/99
V	DF	6,258,100	07/11/01	Alfemess et al.	606/108	10/10/00
7NP/	DG	6,270,527 (B1)	08/07/01	Campbell et al.	623/2.18	10/16/98

EXAMINER'S SIGNATURE

/Nihir Patel/

DATE CONSIDERED

01/04/2008

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INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR § 1.56, § 1.97, and § 1.98

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APPLICATION SERIAL NO.: 10/627,941	ATTY DKT NO.: 38349-0123	CONFIRM NO.: 3677
APPLICANTS: Hendricksen <i>et al</i> .	CUSTOMER NO.: 24961	
FILING DATE: July 25, 2003	GROUP ART UNIT: 3761	

U.S. PATENT DOCUMENTS

/NP/	DH	6,287,290 (B1)	09/11/01	Perkins et al.	604/516	07/02/99
1	DI	6,293,951 (B1)	09/25/01	Alfemess et al.	606/108	08/24/99
	DJ	6,302,893 (B1)	10/16/01	Limon et al.	606/108	06/19/00
	DK	6,312,407 (B1)	11/06/01	Zadno-Azizi et al.	604/103.03	02/19/98
	DL	6,325,777 (B1)	12/04/01	Zadno-Azizi et al.	604/97.01	10/01/99
	DM	6,325,778 (B1)	12/04/01	Zadno-Azizi et al.	604/99.02	01/01/99
	DN	6,327,772 (B1)	12/11/01	Zadno-Azizi et al.	29/557	04/13/99
	DO	6,328,689 (B1)	12/11/01	Gonzalez	600/37	03/23/00
	DP	6,355,014 (B1)	03/12/02	Zadno-Azizi et al.	604/99.02	03/13/98
	DQ	6,398,775 (B1)	06/04/02	Perkins et al.	604/514	10/21/99
	DR	6,402,754 (B1)	06/11/02	Gonzalez	606/69	10/20/99
	DS	6,416,554 (B1)	07/09/02	Alfemess et al.	623/23.65	08/24/99
	DT	6,458,076	10/01/02	Pruitt	600/146	02/01/00
	DU	6,485,407 (B2)	11/26/02	Alfemess et al.	600/37	02/09/01
	DV	6,491,706 (B1)	12/10/02	Alfemess et al.	606/157	07/10/01
1/	DW	6,493,589 (B1)	12/10/02	Medhkour et al.	607/99	08/10/00
V	DX	6,510,846 (B1)	01/28/03	O'Rourke	128/200.21	10/26/00
/NP/	DY	6,527,761 (B1)	03/04/03	Soltesz et al.	604/516	10/27/00

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE APPLICATION SERIAL NO .: ATTY DKT NO .: CONFIRM NO.: 10/627,941 38349-0123 3677 INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.56, § 1.97, and § 1.98 APPLICANTS: CUSTOMER NO .: **FORM PTO-1449** Hendricksen et al. 24961 GROUP ART UNIT: FILING DATE: Sheet 7 of 11 KIN. 1 8 2003 July 25, 2003 3761

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FOREIGN PATENT DOCUMENTS † EX'R TRANSLATION REF.# Patent Number Date Country INITIAL (YES/NO) /NP/ DΖ 00/15149 03/23/00 **PCT** 00/42950 07/27/00 PCT EΑ EB 00/51510 09/08/00 PCT EC 00/62699 10/26/00 PCT ED 00/78386 (A1) 12/28/00 PCT EΕ 00/78407 (A1) 12/28/00 **PCT** 01/11/01 **PCT** EF 01/02042 (A1) EG 01/03642 (A1) 01/18/01 PCT EΗ 01/05334 (A1) 01/25/01 PCT 02/15/01 PCT ΕI 01/10313 (A1) EJ 01/10314 (A2) 02/15/01 **PCT** 02/22/01 ΕK 01/12104 (A1) PCT 03/01/01 **PCT** EL 01/13839 (A1) EΜ 01/13908 (A2) 03/01/01 PCT ΕN 01/28433 (A1) 04/26/01 EP EO 01/45590 (A2) 06/28/01 PCT

EXAMINER'S SIGNATURE		DATE
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INFORMATION DISCLOSURE STATEMENT

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APPLICATION SERIAL NO.: 10/627,941	ATTY DKT NO.: 38349-0123	CONFIRM NO.: 3677
APPLICANTS: Hendricksen <i>et al.</i>	CUSTOMER NO.: 24961	
FILING DATE: July 25, 2003	GROUP ART UNIT: 3761	

TADE	FOREIGN PATENT DOCUMENTS						
/NP/	EQ	01/54585 (A1)	08/02/01	PCT ·			
	ER	01/54625 (A1)	08/02/01	PCT			
	ES	01/54685 (A1)	08/02/01	PCT			
	ET	01/66190 (A2)	09/13/01	PCT			
	EU	01/74271 (A1)	10/11/01	РСТ			
	EV	01/87170 (A1)	11/22/01	PCT			
	EW	01/89366 (A2)	11/29/01	РСТ			
	EX	01/95786 (A2)	12/20/01	PCT			
	EY	02/05884 (A2)	1/24/02	PCT			
	EZ	02/22072 (A2)	03/21/02	РСТ			
	FA	02/32333 (A1)	04/25/02	РСТ			
	FB	02/34322 (A2)	05/02/02	PCT			
	FC	02/38038 (A2)	05/16/02	PCT			
	FD	02/47575 (A2)	06/20/02	PCT			
	FE	02/056794 (A2)	07/25/02	PCT			
	FF	02/064045 (A1)	08/22/02	PCT			
	FG	02/064190 (A2, A3)	08/22/02	PCT			
V	FH	02/069823 (A2, A3)	09/12/02	PCT			
/NP/	FI	02/094087 (A1)	11/28/02	PCT			

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APPLICATION SERIAL NO.: 10/627,941	ATTY DKT NO.: 38349-0123	CONFIRM NO.: 3677
APPLICANTS: Hendricksen <i>et al.</i>	CUSTOMER NO.: 24961	
FILING DATE: July 25, 2003	GROUP ART UNIT: 3761	

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	FK	03/079944 (A1)	10/02/03	PCT	
	FL	03/088912 (A2)	10/30/03	PCT	
	FM	0621 015 (A1)	10/26/94	EP	
	FN	0621 015 (B1)	10/26/94	. EP	
	FO	1,371,700	02/07/88	SU	X
	FP	1,593,651	09/23/90	su	×
	FQ	1 078 601 (A2)	02/28/01	EP	
	FR	1 151 729 (A1)	11/07/01	EP	
	FS	2140211	10/27/99	RU	×
	FT	2324729	04/11/98	GB	
	FU	852321	07/08/81	SU	×
	FV	94/26175	11/24/94	PCT	
	FW	95/32018	11/30/95	РСТ	
	FX	96/34582	11/07/96	PCT	X+
	FY	97/44085	11/27/97	PCT	
	FZ	98/00840	01/08/98	РСТ	·
V	GA	98/19633	05/14/98	PCT	
/NP/	GB	98/39047	09/11/98	PCT	

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INFORMATION DISCLOSURE STATEMENT

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APPLICATION SERIAL NO.: 10/627,941	ATTY DKT NO.: 38349-0123	CONFIRM NO.: 3677
APPLICANTS: Hendricksen <i>et al.</i>	CUSTOMER NO.: 24961	
FILING DATE: July 25, 2003	GROUP ART UNIT: 3761	

FOREIGN PATENT DOCUMENTS					
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	GE	99/01076	01/14/99	PCT	
	GF	99/13801	03/25/99	PCT	
	GG	99/26692	06/03/99	PCT	
	GH	99/32040	07/01/99	PCT	
	GI	99/42059	08/26/99	PCT	·
V	GJ	99/42161	08/26/99	PCT	
/NP/	GK	99/64109 (A1)	12/16/99	PCT	

x English Language Derwent is enclosed.

x+ English Language Equivalent is enclosed.

	OTHER DOCUMENTS			
† EX'R INITIAL	REF.#	CITATION (Author, Article Title, Journal/Book Title, Date, Pertinent Pages, etc.)		
/NP/	GL	Al Jishi et al., "Selective Bronchial Occlusion for Treatment of Bullous Interstitial Emphysema and Bronchopleural Fistula." J. of Pediatric Surgery, 29:1545-1547, 1994		
/NP/	GM	Article: "Autocath® 100 – Nonsurgical, Intraurethral Bladder Control Device for Incontinent and Retentive Women – Dr. Kulisz's Development"		
/NP/	GN	Derwent citing Russian Patent No. RU 2140211, published 10/27/99, for: "Method of surgical treatment of patients with pathology of respiratory organs complicated with pulmonary hemorrhages"		

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/Nihir Patel/	01/04/2008

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UNDER 37 CFR § 1.56, § 1.97, and § 1.98

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APPLICATION SERIAL NO.:	ATTY DKT NO.:	CONFIRM NO.:
10/627,941	38349-0123	3677
APPLICANTS: Hendricksen <i>et al.</i>	CUSTOMER NO.: 24961	
FILING DATE: July 25, 2003	GROUP ART UNIT: 3761	· -

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NA Management		DESCRIPTION	Derwent citing Soviet Union Patent No. SU 852-321, published 07/08/81, for: "Treatment for acute pulmonary and pleural disease in children - by pneumo-abcessotomy simultaneous with occlusion of affected lung part"
		GP	Derwent# 007607249 WPI Acc. No: 1988-241181/198834 (citing Russian Application No. SU4026409, published February 21, 1986), Russian Patent No. SU 1371700
		GQ	Derwent# 008650867 WPI Acc. No: 1991-154896/199121 (citing Russian Application No. SU4280143, published July 07, 1987), Russian Patent No. SU 1593651
		GR	Harris et al., "The Experimental Production in Dogs of Emphysema with Associated Asthmatic Syndrome by Means of an Intratracheal Ball Valve", J. Lab. Clini. Med., 9(iv):75-88, 1919
GS		GS	Lewis et al., "Pulmonary Interstitial Emphysema: Selective Broncial Occlusion with a Swan-Ganz Catheter", Archives of Disease in Childhood, 63:313-315, 1988
GT		GT	Mathew et al., "Selective bronchial obstruction for treatment of bullous interstitial emphysema." J. of Ped., 96:475-477, 1980
GU		GU	Okada et al., "Emergent Bronchofiberoptic Bronchial Occlusion for Intractable Pneumothorax with Severe Emphysema", <i>The Jap. J. of Thor. And Cardio. Sur.</i> , 46:1078-1081, 1998
GV		GV	Puhakka et al., "Acute Bronchial Obstruction: An Experimental Rabbit Model Study", Int. J. of Pediatric Otorhinolaryngology, 18:107-118, 1989
		GW	Snider et al., The Definition of Emphysema: Report of the National Heart Lung and Blood Institute, Division of Lung Diseases Workshop, Am. Rev. Respir. Dis., 132:182-185, 1985
/N	νP/	GX	Woodring et al., "Pneumothorax ex Vacuo", CHEST, 100:1102-1124, 1996

EXAMINER'S SIGNATURE	DATE CONSIDERED
/Nihir Patel/	 01/04/2008

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